

## PART B – FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to:

**Mail** Mail Stop ISSUE FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
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**INSTRUCTIONS.** This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Equally valid as with any correction, or use Block 3.)

26161

7590

10/16/2006

**FISH & RICHARDSON P.C.**  
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Minneapolis, MN 55440-1022

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
99/693,568	10/29/2009	Karen Philip	12023-093801	7796

### TITLE OF INVENTION: NEGREGATION AND MANAGEMENT OF FINANCIAL ASSETS BY RULES

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) PAID	DATE DUE
non-provisional	YES	\$700	\$6	\$706	01/16/2007

EXAMINER	ART UNIT	CLASS/SUBCLASS
SUBRAMANIAN, NARAYANSWAMY	3628	705-036600

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

1. Change of correspondence address or Change of Correspondence Address form PTO/SAR-221 attached.

1. "Fee Address" indication for "Fee Address" indication form PTO/SAR-7, Rev. 03-02 (or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, (1) the names of up to 3 registered patent attorneys or agents OR, alternately, (2) the name of a single firm (having as its member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. Fish & Richardson P.C.

2. \_\_\_\_\_

3. \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type).

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(1) NAME OF ASSIGNEE

(2) RESIDENCE (CITY AND STATE OR COUNTRY)

Fish Richardson, Inc.

New York, NY

Please check the appropriate assignee Category or categories (will not be printed on the patent):  Individual  Corporation or other private group entity  Government

The following fees(are) enclosed:

Issue Fee  
 Publication Fee (No small entity discount permitted)  
 Advance Order - # of Copies \_\_\_\_\_

Payment in Advance

A check in the amount of the fee is enclosed

Payment by credit card. Form PTO-203B is attached

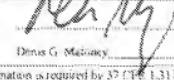
The Director is hereby authorized to charge the required fee and credit any overpayment to Deposit Account Number 12-1052 (enclose an account copy of this form)

5. Change in Entity Status (from status indicated above)

1. An applicant claims SMALL ENTITY status. See 37 CFR 1.22.

1. B. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2)

The Director of the USPTO is entitled to apply the issue fee and publication fee (if any) or to reapply any previously paid issue fee to the application identified above. N.B.: The issue fee and publication fee (if any) will not be accepted from anyone other than the applicant, a registered agent or, if the assignee or other party in interest is shown by the records of the United States Patent and Trademark Office.

[Authorized Signature] 

(Date)

JANUARY 12, 2007

Type or Print Name: Dennis G. Malone

Registration No. 28,630

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including labeling, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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